

# **Guidance for Preparing National Institutes of Health (NIH) Other Support (OS) Pages**

Rev. 8/16/2022

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#### I. Introduction

These instructions were developed to assist you in creating and managing information required in the National Institute of Health's (NIH) Other Support page. This instruction set is compiled from the NIH's Grants Policy Statement, Other Support Instructions, Frequently Asked Questions (FAQs) and Sample Page as well as from GCO's Other Support classes, FAQs, Checklist and other resources. The instructions are organized in the following sections.

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This document uses the terms "senior/key personnel" and "investigator" interchangeably.

#### II. Requirements

#### A. Overall

Other Support is a document that the NIH requires for all key personnel except for Consultants and Other Significant Contributors.

- It includes all resources made available to a researcher in support of and/or related to all of their research endeavors.
- This is <u>regardless</u> of <u>whether or not they have</u> monetary value.
- This is regardless of whether they are based at the institution the researcher identifies for the current grant.
- This includes resources and/or financial support from all foreign and domestic entities that are available to the researcher.
- This includes the investigator's electronic certification that the information contained is true, complete, and accurate.

Refer to GCO's Glossary of Common Terms for definitions of key personnel, consultants, and other significant contributors.

Review the Senior Key Personal Profile page of the NIH application to determine who the Principal Investigator (PI) has designated as such.

#### Sample Other Support page adapted from the NIH

OTHER SUPPORT

\*Name of Individual: Anderson, R.R. Commons ID: AndersonRR

#### ACTIVE: None PENDING

\*Title: Liposome Membrane Composition and Function

Major Goals: The major goals of this project are to define biochemical properties of liposome membrane components and maximize liposome uptake into cells.

\*Status of Support: Pending

Project Number: DCB 950000

Name of PD/PI: Anderson, R.R.

\*Source of Support: National Science Foundation

\*Primary Place of Performance: University of California. Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 10/2021 - 09/2023

\* Total Award Amount (including Indirect Costs): \$262,921

\* Person Months (Calendar/Academic/Summer) per budget period

Year (YYYY)	Person Months (##.##)
1. 2022	2.4 calendar
2 2023	2.4 calendar

\*Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

\*Status of Support: Active

\*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

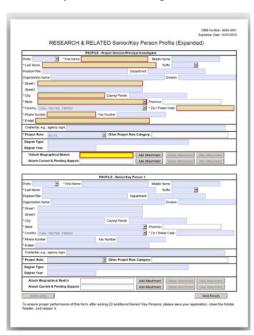
\*Person Months (Calendar/Academic/Summer) per budget period: N/A \*Estimated Dollar Value of In-Kind Information: \$80,000

I, PDIPI or other senior/key personnel, certify that the statements herein are true, complete ar accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

\*Signature:

March 25, 2021

#### Senior / Key Personnel Profile Page



Other support does not include the following funding on the Other Support page:

- training awards where you are the mentor
- prizes
- gifts
- start-up support provided to the investigator by the applicant organization

Please review the NIH definition when determining whether the funding or contribution is a gift. NIH defines gifts as resources provided where there is <u>no</u> expectation of anything (e.g. time, services, specific research activities, money, etc.) in return. Refer to GCO's <u>Gift vs Sponsored Project Checklist</u> for additional guidance on determining whether a contribution is a gift.

The Other Support page is organized in the following sections for each investigator:

- 1. Projects / Proposals: Active and Pending
- 2. In Kind Contributions: Active and Pending
- 3. Overlap
- 4. Certification

# Form Information

There is no form page for the Other Support. GCO strongly encourages using the Mount Sinai template. Visit the <a href="SharePoint site">SharePoint site</a> for the template and more information. At the top of the page include the Investigator's Name and eRA Commons ID. Investigators typically include their professional degree as well.

# B. Projects/Proposals

Provide active and pending support for all senior/key personnel. Enter your support entries so they are grouped together based on the "Status of Support" and are in the order of active and pending support from top to bottom. If there are no active or pending proposals, state "None" in the active or pending subsection.

# Do include:

- Any sponsored project if you are the <u>Principal Investigator</u> (PI). This includes training grants and pharmaceutical sponsored research
- Any sponsored project where you have measurable effort
- Funding from internal Mount Sinai grant competitions
- Projects/Proposals at other institutions
- Grants awarded to a Mount Sinai Health System hospital

#### Do not include:

- Internal Mount Sinai seed / startup funds
- Completed/Closed Sponsored Projects

The fields for each entry are as follows:

- 1) Title
- 2) Major Goals
- 3) Status of Support
- 4) Project Number
- 5) PI Name
- 6) Source of Support
- 7) Primary Place of Performance
- 8) Start and End Date
- 9) Total Award Amount
- 10) Person Months

#### Projects/Proposals

Active:

- \*Title: Big Hearts are Better than Big Brains
- Major Goals: We surmise that big hearts are better than big brains.
- \*Status of Support: Active Project Number: R01 GM035448
- Name of PI: Gottlieb, Allison
- \*Source of Support: NHLBI
- \*Primary Place of Performance: Icahn School of Medicine at Mount Sinai
- Start and End Date: 9/1/2019 8/31/2024
- \*Total Award Amount: (including Indirect Costs): \$1,492,232
- m \* Person Months (Calendar/Academic/Summer) per budget period

Year (YYYY) 3. 2022

Person Months (##.##) 3.6 calendar

4. 2023

3.6 calendar

5. 2024

3.6 calendar

# Subawards and Multi-Project Awards

When including Projects/Proposals Other Support under a subaward or that is part of a multi-project award: Indicate the project number, Name of PD/PI, and source of support for the overall project. Provide all other information (e.g., total award amount, person months) for the subproject/subaward only. Please see the <u>subaward section</u> of this document for instructions on locating the data.

# Instructions for Each Project/Proposal Field

Below is additional information regarding fields for each project/proposal. For information regarding how to collect the information please see this section, "Best Practices for Collecting and Managing Information." In addition, please refer to GCO's Other Support FAQs for additional answers to questions regarding projects/proposals.

# 1) Title

Identify the title of the project, subproject, or activity.

# 2) Major Goals

Provide a brief statement of the overall objectives of the project, subproject, or consortium/contractual arrangement or a description of the activity.

### 3) Status of Support

Indicate if the support is currently active or pending.

#### 4) Project Number

Provide the project number. If applicable, include a code or identifier for the project.

### 5) PI Name

Provide the name of the Contact PD/PI of the project. If this is a Multiple PI grant, you may include the names of all the Principal Investigators.

# 6) Source of Support

Identify the agency, institute, foundation, or other organization that is providing the support. Include domestic, foreign, institutional, federal, public, and private sources of support.

When the source of funding is a subaward, GCO recommends you type "Name of Subaward – Name of Funding Source" (example: NYU – NIH).

#### 7) Place of Performance

Primary location where the project or activity is being executed. This is the entity that is receiving the funds directly from the funding entity. This typically will be the Icahn School of Medicine at Mount Sinai.

#### 8) Start and End Date

Indicate the inclusive dates of the project/activity as approved/ proposed. For example, in the case of NIH support, provide the dates of the approved/proposed competitive segment.

Including the start and end day or leaving it out (e.g., 7/1/2021 or 7/2021) are acceptable. These dates are competitive. That means for example if your grant has been running for 20 years, include the current competitive segment, for example 4/1/2020 - 3/31/2025 or 4/2020 - 3/2025.

# 9) Total Award Amount

For active projects, provide the total award costs for the period of performance, including indirect costs. For example, in the case of NIH support, provide the total award costs for the competitive segment. For a pending project, provide the proposed total costs for the period of performance.

Keep in mind that if your grant has been awarded for many competitive segments, list the total award for all the years in the current competitive segment only.

Example: Your grant has been running since 7/1/2003. Do not include the total award amount starting from then. Include the total award from the most recent competitive segment. That would be the total award amount for 5 years from 7/1/2018 to 6/30/2023.

# 10) Person Months

Indicate calendar months associated with each project. For an active project, provide the level of <u>actual</u> effort in calendar months (even if unsalaried) for the <u>current</u> budget period and indicate the <u>proposed</u> level effort for each <u>remaining</u> budget period. The NIH allows for academic (e.g., 9 months) and summer month appointments (e.g., 3 months) but Mount Sinai runs on a calendar year only.

# Proposal Period Start and End Date: 8/1/2021 - 7/31/2023

	Year	Person Months				
	2022	2.4 CM				
_	2023	2.4 CM				

Y1 Budget Period Start and End Date: 8/1/2021 – 7/31/2022 Y2 Budget Period Start and End Date: 8/1/2022 – 7/31/2023

If the investigator is reporting on a budget period that spans two calendar years, enter the latter vear.

For example, if the budget period runs from Aug 2021 through July 2022, enter "2022" for the year. The following year in the example above would be "2023."

For instructions on actual effort information, please see the <u>Sinai Cloud HR section</u> of this document.

For a pending project, indicate the level of effort in person months as proposed for each budget period.

# C. In Kind Contributions

Provide active and pending in-kind contributions for all senior/key personnel. Enter in-kind entries so they are grouped together based on the "Status of Support" and are in the order of active and pending support from top to bottom. If there are no active or pending in kind contributions, state "None" in the active or pending subsection.

In kind contributions are non-monetary contributions such as office/laboratory space, equipment, supplies, or employees or students supported by an <u>outside</u> source.

Examples include financial support for laboratory personnel, and provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).

Other types of activities that are included in the In Kind section are:

- Consulting agreements, when the investigator conducts research as part of the consulting activities. *Non-Research Consulting Activities are not Other Support.*
- Trainees and volunteers conducting research as part of the investigator's team paid directly by an outside party.
- Drug agreement from a company supporting an NIH-funded trial.

An item or service given with the <u>expectation of an associated time commitment</u> is not a gift. Rather, it is instead an in-kind contribution.

# Do not include:

- Gifts. The NIH defines gifts as resources provided where there is <u>no</u> expectation of anything (e.g. time, services, specific research activities, money, etc.) in return.
- Fellows supported by training and fellowship grant where the award is made to Mount Sinai.
- An in-kind contribution if it is intended for use on the project being proposed to NIH in this
  application. Rather, this information must be included as part of the Facilities and Other
  Resources or Equipment section of the competitive application.
- Internal Mount Sinai seed / startup funds.
- No longer active in kind contributions.

The investigator is the source of in kind contributions information. In kind contributions are not tracked by the GCO.

The fields for each entry are as follows:

- 1) Summary
- 2) Primary Place of Performance
- 3) Start and End Date
- 4) Estimated Dollar Value

In Kind Contributions entry

- 1) Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.
- 2) Primary Place of Performance: University of California, Los Angeles
- 3) Start and End Date: 2/1/2022 1/31/2025
- Estimated Dollar Value of In-Kind Information: \$80,000

Below is additional information regarding the fields. In addition, please refer to GCO's Other Support FAQs for additional answers to questions regarding In kind contributions.

# 2) Place of Performance

Primary location where the activity is being executed. This typically will be the Icahn School of Medicine at Mount Sinai.

# 4) Estimated Dollar Value

Provide an estimate for the value. If the dollar value is not readily ascertainable, reasonable estimates should be provided.

# D. Overlap

After listing all support, summarize for each individual any potential overlap with the active or pending projects and activities, other positions, affiliations, and resources and this application. The NIH does not allow for overlap. Any type of overlap (i.e., scientific, budgetary, or commitment) must be addressed. and resolved by the NIH Institute/Center with the applicant and the PI at the time of award. Please review the Notes tab in <a href="GCO NIH's Other Support">GCO NIH's Other Support</a> Checklist for additional sample language for addressing overlap.

# 1. Scientific Overlap

Scientific overlap occurs in the following scenarios:

- (1) Substantially the same research is proposed in more than one application or is submitted to two or more funding sources for review and funding consideration or
- (2) A specific research objective and the research design for accomplishing the objective are the same or closely related in two or more applications or awards, regardless of the funding source.

Example: NIH is reviewing a project under consideration for funding and the same project has also been submitted to the American Heart Association.

These changes are considered scientific overlap:

- Rewording large sections of the application while retaining the scientific goals and objectives.
- Making changes to sections such as the Significance or Innovation but not changing the intent of the application.
- Adding one or more new collaborator(s).
- Including additional preliminary data.
- Making substantial additions to the pre-existing Approach when the majority of the old design persists in the "new" application.

- Requesting assignment to a different NIH Institute/Center or review by a different Scientific Review Group.
- Submitting the application to a different program announcement (PA, PAS or PAR).

These substantial changes are not considered scientific overlap:

- Using significantly different model systems.
   Changing the disease model.
- Using a similar methodological approach for a substantially different question.
- Asking a significantly different question.
- Using a very different methodological approach to address a similar issue.

Please contact the NIH Grants Management Officer or Program Officer if you have any questions or need assistance.

Sample Language Addressing Scientific Overlap:

There is scientific overlap between aim 2 of Grant X and aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

# 2. Budgetary Overlap

Budgetary overlap occurs when duplicate or equivalent budgetary items (e.g., equipment, salaries) are requested in an application but already are provided by another source.

Example: A PI of 2 projects, one on heart disease and the other in hypertension, uses the same clinical labs for both.

Sample Language Addressing Budgetary Overlap:

The budget for this application included costs for sample collection. Since the time of submission another award (grant # X) has been funded where sample collection is occurring. While there is no scientific overlap between the studies these samples may be used for both awards. Therefore, we are requesting that if awarded direct costs of Y and corresponding F&A of Z be removed from budget of this award.

# 3. Commitment Overlap

Commitment overlap occurs when an individual's time commitment typically exceeds 11.4 calendar months whether or not salary support is requested in the application.

Determine the <u>cumulative</u> effort of the <u>current</u> budget period across <u>active</u> projects and <u>include</u> the grant for which the Other Support document is under consideration. Also take into consideration any awards that you know are to be funded. If this cumulative effort exceeds 11.4 CM, address this with specific plans in the overlap section of the OS page. Also review the Notice of Award of each sponsored project and take appropriate action if there any prior approval requirements for reducing or changing effort of key personnel.

For Just in Time (JIT) submissions, consider when the JIT grant begins and when any other active grants may end when determining cumulative effort. For example if the JIT grant's proposed start date is September 2022 and 2 active grants are ending in July 2022, don't include those 2 grants when calculating the cumulative effort total. While not required, it is helpful to note when this situation occurs as a courtesy to the NIH grants management specialist.

Sample Optional note: While it might appear at first glance that effort exceeds 12 CM, please note that [name grant] corresponding to 3 CM ends in two weeks and so will not overlap with this award if funded.

Sample Language to Address Commitment Overlap

If the JIT grant is awarded, the [Investigator Name]'s effort will be more than 11.4 CM. Effort on these grants will be reduced: [Name grants]

Of those grants, below are the ones that require prior approval before reducing. [Name grants] State whether you will submit or have submitted the prior approval request.

# E. Certification

Each investigator must electronically sign their respective Other Support form prior to submission. This signature certifies that the statements are true, complete and accurate. See the certification language below.

Acceptable electronic signatures are:

 Adobe and DocuSign. Please refer to GCO's Other Support: Adobe Acrobat Signature Certification Instructions.

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

Unacceptable signatures are:

- Hand signed (i.e., "wet") signatures
- Pasted in signature
- Signed "on behalf of" signatures

Signature:

GCO will accept signed and unsigned draft copies for review. The final version must be certified.

# F. Supporting Documentation for Foreign Appointments/ Employment

The NIH requires supporting documentation which includes:

 Copies of contracts/agreements including foreign <u>sponsored project</u> agreements specific to senior/key-personnel foreign appointments and/or employment or unpaid appointments with a foreign institution.

Provide all foreign contracts/agreements/sponsored project agreements in the original foreign language <u>in advance</u> to the designated <u>GCO Grants Specialist</u>. Submitting to the GCO when it is due to the NIH will add delays to the GCO processing your application. GCO will obtain the machine read translated contract/agreement and send both versions to the NIH. Documents translated by the Investigator will not be accepted.

# G. Multiple Research Appointments (MRA), Part-Time Appointments and K Awardees

# 1. Multiple Research Appointments

If an investigator has an appointment with the Department of Veterans Affairs Medical Center (VA) or another entity, arrange projects/proposals and in kind contributions within each appointment. See sample format below.

Icahn School of Medicine at Mount Sinai

Projects/Proposals: Active and Pending sections In Kind Contributions: Active and Pending sections

[Name of Entity]

Projects/Proposals: Active and Pending sections
In Kind Contributions: Active and Pending sections

Overlap Signature

For Multiple Research Appointments, CM effort cannot exceed 12 calendar months including both institutions.

For joint appointments with the VA, report on person months globally on the OS page such that the combined total commitment of active projects and the JIT award does not exceed 12 CM. Please include sample language below on the investigator's Other Support page.

Joint ISMMS and VA Appointments: Sample Language to Include on OS:

The joint Icahn School of Medicine at Mount Sinai [Enter XX FTE appointment (e.g., 1FTE, .5 FTE, etc.)] and James J. Peters VA Medical Center [enter X/8th appointment (e.g., 3/8the 5/8ths, etc.)] appointment for [Investigator Name] constitutes 100% of his/her total professional responsibilities (TPE). The combined TPE at both institutions does not exceed 12 person months (PM). [Investigator's Name]'s joint appointment is documented under an MOU between Mount Sinai and the VA. There is no dual compensation for the same work, nor is there an actual or apparent conflict of interest regarding such work.

The TPE differs from the effort listed on each sponsored project budget. Effort on sponsored project budgets reflects each particular institutional time commitment only. Also included below in "( )" are the particular institutional CM time commitments for clarification purposes only. Please see additional information in the <u>Sinai Cloud HR section</u>.

# 2. Part-Time Appointments

Report on person months.

Example: A person with a half time Sinai appointment (6 CM) spending 50% of his/her time working on research grants has a total commitment of 3 CM. Calendar months effort cannot exceed calendar months appointment. Please see additional information in the Sinai Cloud HR section.

# 3. K Awardees

The OS page of investigators may appear to be over 12 CM. K awards generally cover between 9 and 12 CM of an Investigator's time in order to provide protected time to engage in research and other activities in support of a research career such as training and grant writing. K award effort includes but is not restricted to the individual project described in the K award. Effort spent on other research projects may be subsumed within the K award if they fall within the broad scope of the K.

Or, the sponsored project may be considered outside the scope of the K award and not be considered part of the K award commitment. The PI will need to make a determination whether the sponsored project is within or outside the scope. Once a determination is conveyed to the NIH, it should not be changed. Be sure to use the term "subsumed" (is or is not) in the overlap section. See NIH Notice NOT-OD-17-094 for more information.

### III. Information Sources

This section includes instructions on locating Projects/Proposals information.

#### A. InfoEd

All of the required fields for the each entry in the Projects/Proposals section can be found in <a href="InfoEd">InfoEd</a> for projects that have been submitted to external applications through Mount Sinai. If the PI did not follow institutional policy regarding mandatory InfoEd submission, the project will be missing from InfoEd as well. Click <a href="here">here</a> for information regarding the projects that must be submitted to the GCO in InfoEd.

Please note that the following projects / proposals will not be tracked in InfoEd. However, they must be included on the Other Support page. Please check with the investigator on these types of projects.

- Projects/proposals at other institutions including the Mount Sinai Health System Hospitals.
- Internal competitions may or may not be included in InfoEd.

Note that <u>actual</u> calendar month effort for the <u>current</u> budget period may not be located in InfoEd . Current percentages of salary source allocation is in Sinai Cloud HR. Please check with the departmental administration for this and see the <u>Sinai Cloud HR</u> section for more information.

# 1. Access

If you are the PI or the delegate of a PI, you will only have access to that PI's active and pending sponsored projects, and not those of the other investigators. For other investigators, you may need to work with departmental staff to obtain and/or to prepare them.

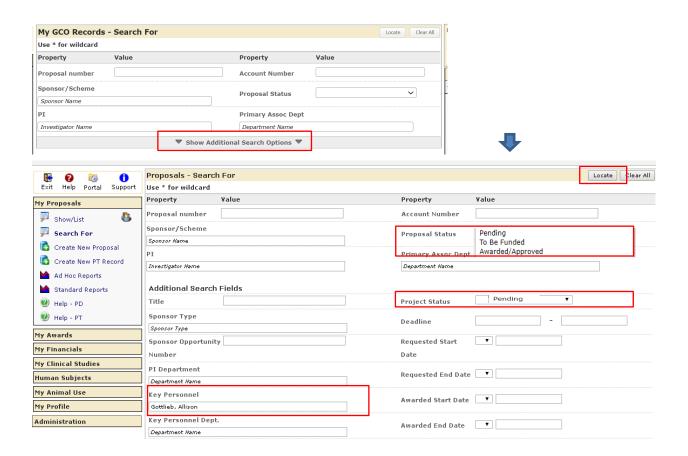
Check with your administrator to see if it is appropriate to have viewing access of all the proposals in your department. If so, create a <u>Research IT ticket</u> and request "Department Admin Level III" access and include the departmental administrator's approval.

# 2. Projects/Proposals Searches

Before you begin a search in InfoEd, obtain the names of the individuals listed as senior/key personnel in the NIH application. Then search <a href="InfoEd">InfoEd</a> for active and pending projects/proposals

to include on the investigator's Other Support page. See screenshot below for starting the search.

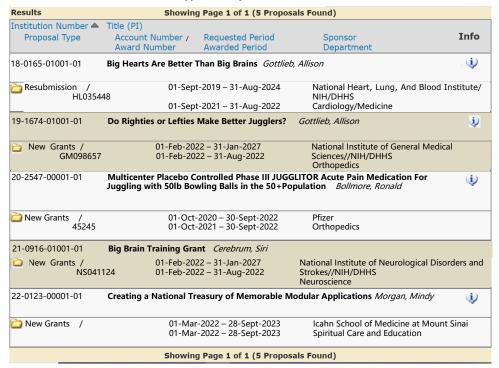
Step 1 - Click on "Show Additional Search Options."



- Step 2 Begin typing investigator's name in the progressive text "Key Personnel" field and select the investigator.
- Step 3 In the Proposal Status field, choose "Awarded/Approved" for active projects and for pending projects, choose "Pending" and "To Be Funded." Another option for running pending searches is in the "Project Status Field" in the "Additional Search Fields" section. Choose "Pending." (Pending in the "Project Status" field pulls both pending and to be funded projects.)

If you have found pending grants in InfoEd that you are aware will not be funded or will be awarded, please do notify the GCO by sending an email to <a href="mailto:grants@msm.edu">grants@msm.edu</a>. This will expedite the Other Support page review process at the GCO. For an awarded grant, please do forward the award notice as well.

#### InfoEd Search Results for Awarded/Approved Projects



# 3. Determining Projects to Include on Other Support Page

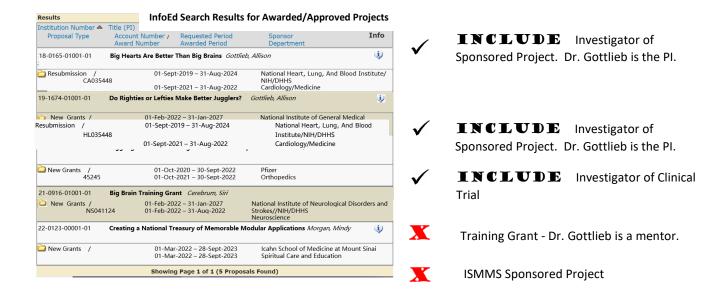
Review the search results to determine which projects to include on the Other Support page. You may need to open the project in InfoEd to further review. Sample projects and instructions for navigating to the proposal are below.

#### Do include:

- Sponsored projects where the individual is key personnel.
- Icahn School of Medicine at Mount Sinai funding from internal grant competitions.

# Do not include:

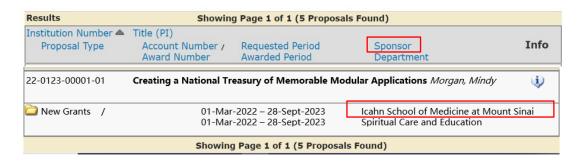
- Training awards where the individual is the mentor.
- Projects funded by Mount Sinai start-up/seed funds.
- Sponsored projects in which the individual is an Other Significant Contributor or Consultant For investigators on clinical trials, it depends whether or not the project is included. If the investigator is involved, include. If he/she is not, do not include. For example, for a clinical trial in which you a non-PI investigator and your role is to evaluate patients after enrollment and there have never been any patients enrolled, it would be appropriate if the trial is not included.



# a. Icahn School of Medicine at Mount Sinai Sponsored Projects (ISMMS)

ISMMS projects are generally not included on Other Support page. The exception is when they are funded by internal grant competitions. Check with the PI if the funding is from an internal grant competition.

In this example, if you are preparing Allison Gottlieb's Other Support page and this is a project funded by an internal grant competition that provides support for Dr. Gottlieb's effort, include this project on her Other Support page. If this project is not funded by an internal grant competition, do not include this ISMMS project on her page.

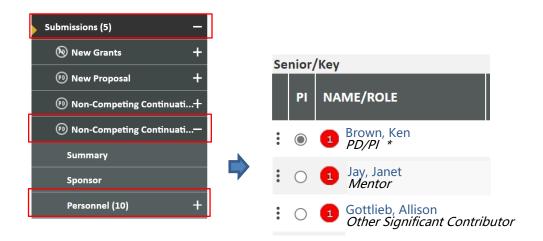


# b. Mentors, Other Significant Contributors, and Consultants: Personnel Tab Review

When conducting the search for Key Personnel in InfoEd, many projects may be included that do not belong on the Other Support page. On sponsored projects, you must review the "Role" in the Personnel tab to determine if this sponsored project should be included. See example below. The following roles are not included:

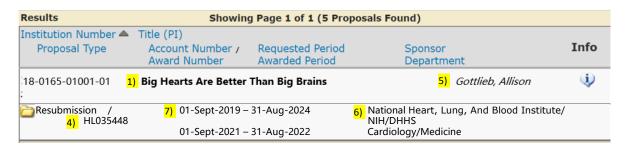
- Mentors
- Other Significant Contributors
- Consultants

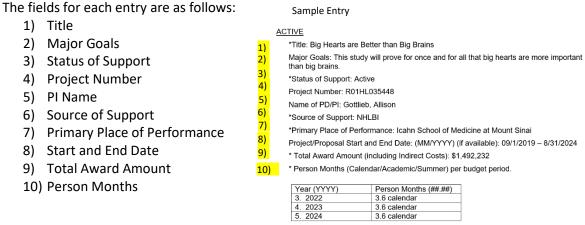
Go to Submissions > Latest Submitted Application and Click on "Plus" to open accordion > Personnel



# 4. Data for Project/Proposals

Once you've determined which projects are included, gather the required information for each.





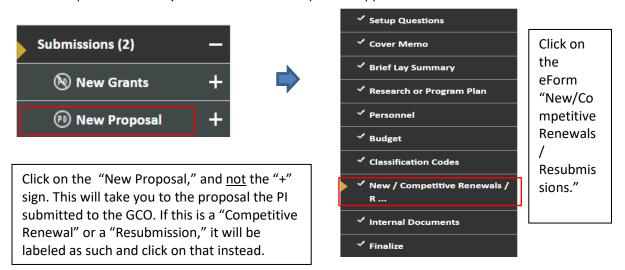
Below are instructions re: locating the project information.

#### 1) Title

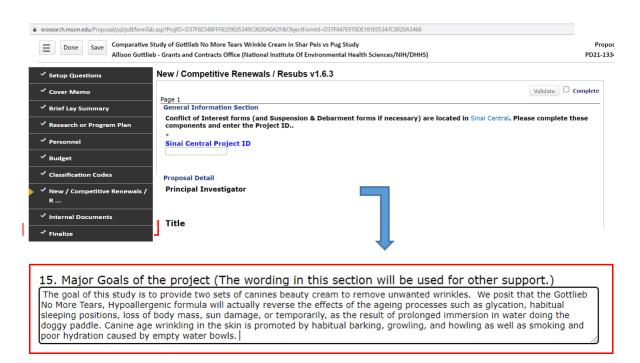
Available on InfoEd Search Results screen.

# 2) Major Goals

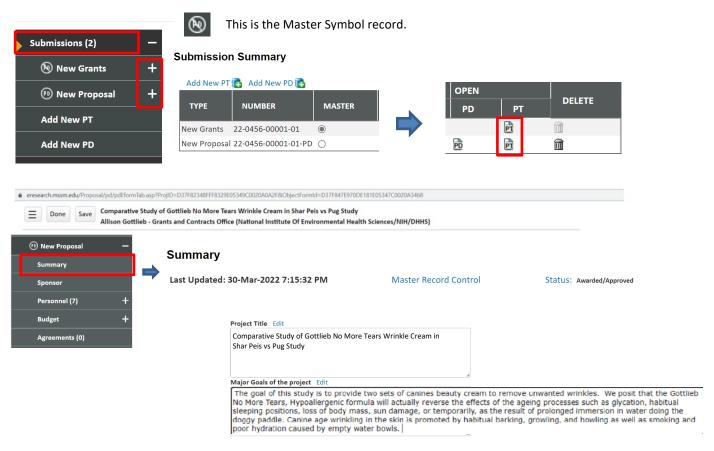
Please use the Major Goals information provided by the PI in the InfoEd eForm for new and other competitive applications so that you and your colleagues can share the same description for the Other Support form. Please see sample below. Beginning December 2021, this is a required data entry box for new and competitive applications.



If this section of the eForm is blank, obtain from the sponsored project proposal or ask the PI.



Another place to find the Major Goals is in Submissions > Master Record <u>or</u> Application Submission Record (e.g., New Proposal, Transfer, Competitive Renewal), Submission > PT > Summary



# 3) Status of Support

Run an InfoEd search for "Awarded/Approved" for active projects and "Pending" and "TBF" for pending projects. Include "Active" or "Pending" as appropriate.

# 4) Project Number

Available on InfoEd Search Results screen.

However, for Department of Health and Human Services (DHHS) sponsored projects, you'll need to include the Activity Description in the Project Number. (e.g., R01, U19, etc.). The activity description is found on the award document or the Master Record > Sponsor tab.



# 5) PI Name

Available on InfoEd Search Results screen.

However, for subawards, include the PI of the overall project. The Overall PI you will find by reviewing the Statement of Intent in the InfoEd proposal.

# 6) Source of Support

Available on InfoEd Search Results screen.

For subawards, include subaward institution, which appears in the InfoEd search results, <u>followed</u> by the funding source. The funding source you will find by reviewing the documentation included with the InfoEd proposal.

Name of Subaward - Name of Funding Source (example: NYU - NIH)

# 7) Primary Place of Performance

Primary location where the activity is being executed. This typically will be the Icahn School of Medicine at Mount Sinai.

#### 8) Start and End Date

Available on InfoEd Search Results screen.

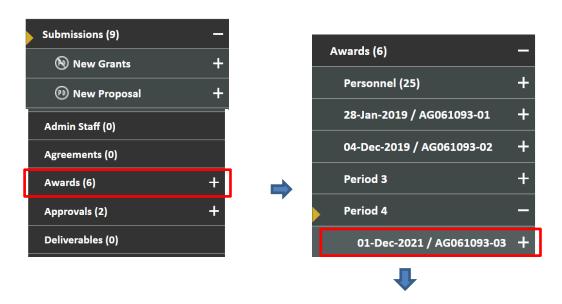
# 9) Total Award Amount

Provide the total award costs for the period of performance, including indirect costs.

# a) Active Sponsored Projects

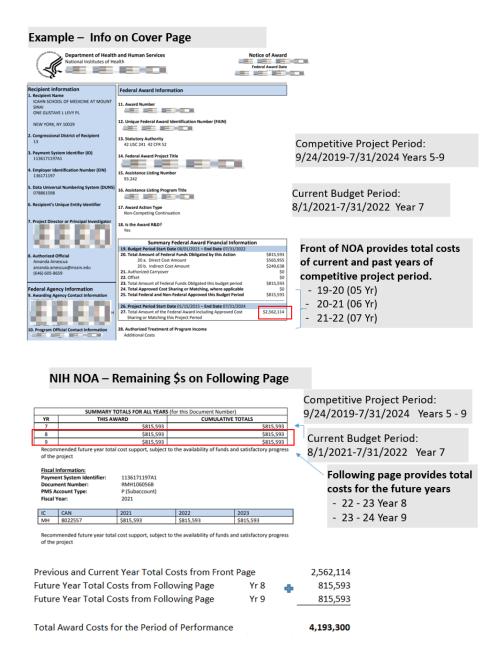
This information is in the Notice of Award (NOA), which is found in the Awards tab. If the award amount is reduced each year from what is originally intended, you may need to review each year's NOA and add the total costs from each individual year.

InfoEd > Awards tab





For NIH awards, you will find the amounts that were previously allotted on the first page and the amounts that are committed for the future later in the NOA. Add these amount together for the total award. See screenshots below.



Tip! For industry sponsored clinical trials or projects paid by milestone, estimate the total number of patients (or units) and multiply that by the per patient costs (or unit cost).

# b) Pending Sponsored Projects

For pending projects, go to the budget in the sponsored project application and find the total costs there. See example below.

PROJECT PERIOD - July 1, 2023 - June 30, 2025

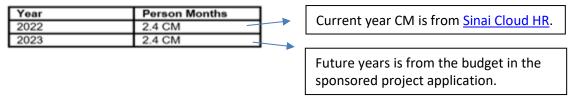
	Yl	Y2	Total
Personnel			
Dr. de per la com	\$3,000	\$3,000	\$6,000
Dr. Chi in in in a	\$1,200	\$1,200	\$2,400
Project Coordinator	\$1,475	\$1,475	\$2.950
	\$1,125	\$1,125	\$2,250
SEFO.	\$600	\$600	\$1,200
EEFO.	\$7,400	\$7,400	\$14,800
EEE0	\$2035	\$2035	\$4070
EEEO	\$9,435	\$9,435	\$18.870
Other Direct Cost			
Travel	\$0	\$0	\$0
Supplies - Medical			\$0
No. of Persons	\$11,050	\$11,050	\$22,100
	\$4,000	\$4,000	\$8,000
Indirect Cost*	\$0	\$0	\$0
Total Cost	24.485	24,485	\$48,970

<sup>\*</sup>This grant source does not allow for Indirect Cost funding.

# 10) Calendar Months

#### a) Active Projects/Proposals

Below is a sample of the calendar months table for an active grant



For the remaining budget periods, the proposed effort is often the committed effort listed in the competitive sponsored project budget and budget justification. Please this sample of effort included in a sponsored project application budget. Please check with the investigator for any annual changes in proposed effort.

Budget Justification - Icahn School of Medicine at Mount Sinai

Year 1-3

#### Personnel

Dr. Gottlieb (PI, 20% effort) will oversee the direction of the project, assist in experimental design, analyze results with Dr. Gray, and facilitate interactions with collaborators. Dr. Gottlieb will direct the writing of manuscripts and will present results of these studies at the 2023 Animal Metaphysical Association.

Fringe Benefits Icahn School of Medicine at Mount Sinai's fringe benefit rate is 30%.

### Supplies

\$2,000

Lab supplies are requested for necessary reagents and antibodies for immunohistochemistry, flow cytometry, and qPCR experiments.

# **NIH Prior Approval Policy**

Reduction of effort for the Principal Investigator and key personnel listed in the NIH Notice of Award by 25% or more from the committed amount requires NIH prior approval. That is handled separately from the Other Support page submission.

However, decreases for people not named in the NoA and decreases of less than 25% for people named do not require prior approval.

Sometimes the proposed effort varies from the committed effort. That is fine if prior approval is not required or has been requested and received.

# b) Pending Projects/Proposals

For a pending project, indicate the level of effort in person months as proposed for each budget period. See sample above.

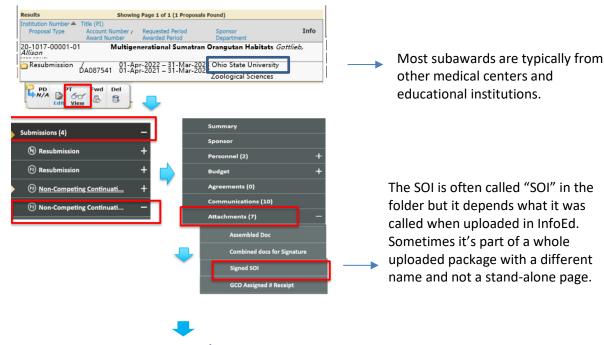
# 11) Overlap Statement

Each Other Support page includes an overlap statement comparing the NIH grant under consideration with the active projects/proposals. Although administrative support staff can assist with determining commitment overlap, it is the responsibility of the investigator to determine whether there is any scientific, budgetary, or commitment overlap. Please see the section in this document defining overlap for more details.

#### 12) Additional Information for Subawards

When Mount Sinai is the subaward, review the Statement of Intent (SOI) which is found in InfoEd for Projects/Proposals data. Please see example below.

#### Submissions > Grant Folder > Attachments



#### STATEMENT OF INTENT TO ESTABLISH A CONSORTIUM AGREEMENT

The overall PI's name and APPLICATION TITLE: Multigenerational Sumatran Orangutan Habitats PD/PI NAME: Dr. Philip Carlson the funding agency name FUNDING AGENCY NAME: NIH FUNDING AGENCY NO. (if applicable ): are needed for the Other PROPOSED BUDGET PERIOD DATES: SUB-AWARDEE INSTITUTION Support page.

DOES THE NIH SIRB POLICY APPLY? R01 DA087451 4/1/2020 - 3/31/2025

DUNS NO .: 78861598

One Gustave L. Levy Place, Box 1075 New York, New York 10029-6574 IS SUBAWARD PD/PI A MULTIPLE PD/PI? No Dr. Allison Gottlieb

Icahn School of Medicine at Mount Sinai (ISMMS)

Ohio State University 1179 University Drive Newark, OH 43055-1797

RUDGET PER MILESTONE/ SUBJECT?

UPCOMING BUDGET PERIOD PROPOSED AMOUNT

Icahn School of Medicine at

**Mount Sinai** 

PRIME INSTITUTION

INSTITUTION NAME& ADDRESS:

SUBAWARD PD/PI NAME:

DIRECT COSTS 150,000 INDIRECT COSTS 103,500 TOTAL COSTS 253,500

The appropriate programmatic and administrative personnel involved in this sponsored project application are aware of all applicable Federal regulations and policies.

ISMMS will establish the necessary inter-institutional agreement(s) consistent with those policies This section is applicable to HHS sponsored projects only.

In signing below, ISMMS certifies that it has implemented and is enforcing the PHS regulations on Conflict of Interest as of August 24, 2012 and is in compliance with the updated provisions of 42 CFR Part 50, Subpart

F. ISMMS confirms that it participates in the FDP Clearinghouse of PHS-COI Compliant Institutions

ISMMS AUTHORIZING ORGANIZATION REPRESENTATIVE (AOR) Jessica R. Moise TITLE: Senior Associate Dean for Sponsored Programs TEL#: (212) 824-8300 EMAIL: grants@mssm.edu EJessica Digitally signed by Jessica Ruth Moise DATE:
Ruth Moise Date: 2022.01.06
10:18:43 -05'00' SIGNATURE: Jessica 01/06/2022

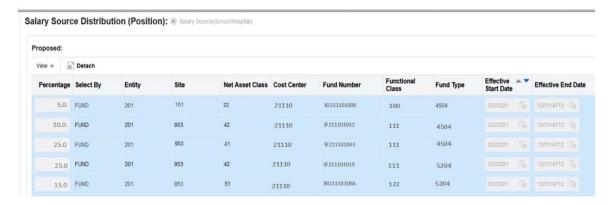
rev. 2/2020

#### B. Sinai Cloud HR

NIH instructions: For an active project, provide the level of <u>actual</u> effort in calendar months (even if unsalaried) for the <u>current</u> budget period.

Review information from Sinai Cloud > HR transactions, for the current distribution of the investigator's salary sources across all activities, including sponsored projects. Please obtain this information from <u>departmental administration</u>. Please see sample screenshot below and the Cloud Fund Number Legend, which provides information on the funding source type (e.g., federal sponsored project; industry sponsored project, departmental account).

Note the percentage of the salary source allocation and the description in each fund number.



**Fund Number Legend** 

Fund Number Logic									
Fund No. Value	Description	Fund Logic							
0	Operations	IO 21109XXXX							
D	Department	ID21109XXXX							
С	FPA	IC21109XXXX							
P	Capital/Plant	IP21109XXXX							
F	Federal Grants	IF21109XXXX II21109XXXX							
1	Industry Grants								
N	Non-Federal Grants	IN21109XXXX							
S	Special Funds	IS21109XXXX IE21109XXXX IO21109XXXX							
E	Endowments								
0	Balance Sheet								
Logic for Cloud Fund No	Logic for Cloud Fund No. Creation :								
Position 1 = Entity	"I" for Icahn School of Medicine								
	"M" for MS Purchased Services (Y accounts)								
Position 2 = Type of Fund eg, Dept or FPA									
Position 3 to 7= Cost C	Position 3 to 7= Cost Center or Divn number								
Position 8 to 11 = Unique Value									

As a reminder, investigators must certify that the CM effort as well as all the information listed on the Other Support page is true, complete and accurate regardless of the percentages listed in Sinai Cloud.

Below are additional scenarios of which you may need to be aware which adds complexity when preparing an Other Support page.

# a) Investigators above the NIH Cap

Percentages listed are based on the investigator's institutional base salary, and not on the NIH cap. If the investigator is above the NIH cap, you cannot use the percentages in Sinai Cloud. The denominator is the base salary in Sinai Cloud for the "Percentage" column. For salaries above the cap, you must the NIH salary cap as the denominator. One way of converting the percentages from the actual base to the NIH salary cap is illustrated in example below:

GCO's Administrative Information Sheet lists the current NIH salary cap.

1) Calculation Instructions and Example

Information needed: Base Salary: 210,000 Current NIH Cap: 203,700

Investigator's effort on Sinai Cloud for a NIH Grant: 19.4%

- Step 1 Multiply Base Salary x Effort in Cloud For Salary Allocation: (210,000 x 19.4% = 40,740)
- Step 2 Divide Salary Allocation by NIH Cap for % Effort at NIH Cap: 20% ( $40,740 \times 203,700 = 20\%$ )
- Step 3 Covert % Effort to CM for OS Page: 2.4 CM (20% Effort x 12 CM = 2.4)
- 2) NIH Salary Cap Form and Resources

Please refer to this <u>training video</u> and review these additional forms that are programmed to do the calculations.

- P-T Employees Above the NIH Salary Cap
- F-T Employees Above the NIH Salary Cap
- P-T Employees Below the NIH Salary Cap

# b) Cost Shares

If the investigator's percent effort on a sponsored project is <u>cost shared</u>, then a departmental fund covers the effort rather than the sponsored project fund. The investigator/department must be tracking this outside of Sinai Cloud HR. The cost shared effort must be included on the Other Support page.

c) Effort Subsumed under Career Development (K) Award Investigators who have K awards and are working on sponsored projects as part of their career development plans also will not have the % effort for these sponsored projects included in Sinai Cloud HR. The investigator/department must be tracking this outside of Sinai Cloud HR.

# d) Multiple Research Appointments

For persons with multiple research appointments, including joint appointments with a Veterans Affair Medical Center (VAMC), report on person months globally on the OS page in addition to the Mount Sinai portion which is represented in Sinai Cloud. The investigator/department must be tracking global effort outside of Sinai Cloud HR. Global effort encompasses all activities across all institutions, appointments, affiliations, and outside activities.

# e) Part Time Appointments

If the investigator has a part time appointment, simply taking the percentages (e.g., 100%) and converting it into calendar months (i.e., multiplying by 12 months) is not correct. The percentages in Sinai Cloud HR total 100% but remember the investigator may only have a 3 month or 25% full time equivalent. For the OS page, multiply the FTE x the % effort on the project x the number of months. For example, a .25 FTE investigator devoting 50% effort over a 12 month budget period would calculate as: .25 x .5 x 12 = 1.5 CM.

Please refer to this <u>training video</u> and review these additional forms that are programmed to do the calculations.

- P-T Employees Above the NIH Salary Cap
- P-T Employees Below the NIH Salary Cap
- f) Discrepancies between Projects/Proposals in Sinai Cloud HR and InfoEd When comparing the InfoEd search with the investigator's salary source allocation in Sinai Cloud HR, there may be discrepancies. Please review these scenarios to see which may apply and the take appropriate action to resolve.

# Scenario 1

<u>Investigator % effort included in Sinai Cloud but not in InfoEd</u> Has the project <u>expired</u> in InfoEd?

- If the grant has really expired, it should not be included on the OS page. Contact departmental administration to remove investigator's effort in Sinai Cloud on the expired project.
- If the InfoEd application is late but work continues, inform GCO and include. Submit the missing InfoEd application as soon as possible.

#### Scenario 2

<u>Investigator % effort included in Sinai Cloud HR but not in InfoEd</u> Is the project still active in InfoEd?

In situations where the investigator's effort is charged (i.e., included in Sinai Cloud HR) but he/she is not listed in InfoEd, this indicates that he/she is involved in the project. Please check with the investigator. After confirmation, please do include on the OS page.

### Scenario 3

<u>Investigator % effort included in InfoEd but not in Sinai Cloud HR</u> Check with the Investigator.

- If yes, the investigator confirms participation, include the project on the Other Support page AND proceed with:

Solution #1: Process Sinai Cloud HR transaction Speak with administrator regarding processing salary source transaction. Solution #2: Confirm Cost Share

Check InfoEd if this is a cost share. Investigator will be listed with % effort but no \$s.

- If no, the investigator is not involved, do not include this project on OS page. Confirm prior approval was either not required for removal or, if required, was requested and received.

#### Scenario 4

# Investigator as PI in InfoEd but no % effort in Sinai Cloud HR

When an investigator is the PI of a project, he/she must have this project listed on the OS page unless the project does not meet NIH definition for Other Support.

#### Solution #1: Process Sinai Cloud HR transaction

Speak with administrator regarding processing a salary source transaction to add the PI with effort/salary in Sinai Cloud HR.

# Solution #2: Confirm Cost Share

Check InfoEd if this is a cost share. Investigator will be listed with % effort but no \$s.

# C. Other

Most of the information you will need to complete the Projects/Proposals section of the Other Support page can be found in InfoEd, including grant applications and Notices of Awards, as described above. You may wish to review these other resources as well.

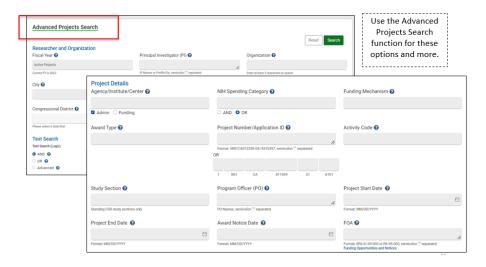
 Departmental Monthly Active and Pending Research Sponsored Projects – Sent to <u>Departmental</u> Administration

Concise listing of department's sponsored projects by PI, and includes all data fields except calendar months effort, total award amount, place of performance, and major goals. This report is run and distributed once a month. Please also search InfoEd as described in the section above to make sure all the latest sponsored projects are included. Below is a report sample.

Α	В	С	D	F	G	1.	J	K	L	M	0	Р	Q
GCO No.	Agency.Class.Co	Agency.C	Departme	nt Activity	. Agency.Numl	Appl	Application.T	y Activity.Name	Title	Agency.Name	PI.Last.Name	PI.First.Name	Activation.Date
15-1605-00001-03	University Non-	University	Zoology	R01		G2	Competing Co	Research Project	Targeted Inhibitor Strategies in CLL	Thomas Jefferson Universi	Benett	Nathaniel	12/1/2021
08-0366-00001-13	Federal NIH	N.I.H.	Zoology	R01	CA210393	G5	Noncompetin	g Research Project	Functional Receptors of Metastatic Awak	e National Cancer Institute/	Benett	Nathaniel	4/1/2022
16-1126-00001-01	University - Fede	University	Zoology	R01	CA105349	G1	New Grants*	Research Project	Engineering the macroenvironment-local	n Albert Einstein College Of I	Benett	Nathaniel	4/1/2022
18-0786-00001-01	MSSM	Mount Sir	Zoology	R01		G1	New Grants*	Research Project	"Targeting of quiescent metastatic cells w	i Icahn School of Medicine a	Benett	Nathaniel	4/1/2022
16-2021-00001-01	Federal NIH	N.I.H.	Zoology	R01	CA319135	G5	Noncompetin	g Research Project	Epigenetic and microenvironmental regula	National Cancer Institute/	Benett	Nathaniel	6/1/2022
08-0417-00001-09	Foundation	Foundatio	Zoology	R01		G5	Noncompetin	g Research Project	Regulation of Disseminated Tumor Cell Fa	t Jimmy V Foundation	Benett	Nathaniel	7/1/2022
16-0752-00001-01	Foundation	Foundatio	Zoology	R01	T2016-008	G5	Noncompetin	g Research Project	Clinical Targeting of Lung Cancer Dorman	Samuel Waxman Cancer R	Benett	Nathaniel	10/1/2022
15-0253-00001-01	Other Non Profi	Other (e.	Zoology	R01	VCC224353	G4	Noncompetin	g Research Project	Treatment Adherence through the Advance	Village Center for Care	Black	Janet	1/1/2022
18-0076-00001-01	Industry	Industry/	FZoology	R01	GS210855	G1	New Grants*	Research Project	309855: A Phase IIIb, Randomized, Multic	e Gilead Sciences	Black	Janet	2/26/2022
18-0530-00001-01	MSSM	Mount Sir	Zoology	R01		G1	New Grants*	Research Project	Health Insurance Transitions for People w	i Icahn School of Medicine a	Black	Janet	3/12/2022
14-2172-00001-01	University - Fede	University	Zoology	U01	HL123336	G5	Noncompetin	g Research Project	A Randomized Trial to Prevent Ocular Disc	University Of Texas Medica	Black	Janet	5/1/2022
15-0185-00001-01	University - Fede	University	Zoology	U01	HL123336	G5	Noncompetin	g Research Project	Randomized Trial to Prevent Oscular Dise	a University Of Texas Medica	Black	Janet	5/1/2022
15-1038-00001-01	Industry	Industry/	Zoology	R01	D-INT-58689	G5	Noncompetin	g Research Project	A Multi-arm, Phase 3, Randomized, Place	b Dermira, Inc.	Black	Janet	7/28/2021
16-2250-00001-01	Industry	Industry/	Zoology	R01	C-54478	G5	Noncompetin	g Research Project	A Phase III, Randomized, Multicenter, Para	Celgene Corporation	Black	Janet	8/11/2021
16-2249-00001-01	Industry	Industry/	Zoology	R01	BMS	G5	Noncompetin	g Research Project	686101 : A Phase III, randomized, multicer	Bristol-Myers Squibb	Black	Janet	8/16/2021
17-0241-00001-01	Federal NIH	N.I.H.	Zoology	R01	GM09515	G5	Noncompetin	g Research Project	Secret Life of Zebrafish	National Institutes of Gene	Gottlieb	Allison	3/1/2018
13-0687-00001-01	University - Fede	University	Zoology	R01	DA087451	G5	Noncompetin	g Research Project	MulitGenerational Sumatran Orangutan H	¿Ohio State University	Gottlieb	Allison	4/1/2022
17-2804-00001-01	Industry	Industry/	FZoology	R01	DT-18-1564	G1	New Grants*	Research Project	Amoebae with ADHD	Denali Therapeutics	Gottlieb	Allison	4/1/2022

# 2. NIH Reporter - Active Projects Only

Public website, look up standard administrative and budgetary NIH grant information. Useful when senior/key personnel is on an NIH grant administered by another institution (i.e., a subaward) or another dept.



#### IV. Submission Process

# A. Grants and Contracts Office (GCO)

The GCO reviews, approves, and submits Other Support pages to the NIH within the Just in Time (JIT) and Research Performance Progress Report (RPPR) submission processes, or outside these processes if the Other Support page was not disclosed. Please check with your <u>designated GCO Grants Specialist</u> on how to submit them. Below are common submission methods for GCO review.

#### Email

You may be emailing them directly to your designated Grants or Other Support Specialist.

#### InfoEd

You may be uploading them into InfoEd, the internal sponsored project management system.

Regarding InfoEd, do not submit Just in Time (JIT) requests using this system. For RPPRs, submit a non-competitive application (NCC) and upload the RPPR in InfoEd. Click <a href="here">here</a> for creating the InfoEd submission instructions. JIT and RPPR applications will be discussed in the next section.

#### eRA Commons

You may be uploading them into eRA Commons, the NIH grants software submission system for JIT and RPPR submissions. eRA will be discussed in the next section. Please note that while you can route an RPPR to your GCO Grants Specialist, you will need to inform your specialist that a JIT submission is ready to be reviewed.

# SharePoint

The specialist may be reviewing them directly in SharePoint, which houses Mount Sinai's template for creating the Other Support page if you have completed them there. SharePoint is discussed in the <a href="SharePoint Template">SharePoint Template</a> section.

The method of submission to the GCO may also be specific for that project. For example, you may have a submission that includes Other Support for 10 investigators in different

departments, and it may be more expeditious to send all documentation in one email with the word documents attached to the specialist rather than have him/her review many different SharePoint folders trying to find the documentation.

GCO will accept initial draft copies without the investigator's signature but the investigator must sign the final version.

#### B. National Institutes of Health

Other Support pages may be submitted to the NIH during these three processes or times:

- 1. Just in Time (JIT)
- 2. Research Performance Progress Reports (RPPR)
- 3. Other Support Changes: Immediate Notification of Undisclosed Other Support

#### 1. Just in Time

Just in Time is the process where the NIH collects additional documentation that was not included in the competitive application. This documentation is required prior to making an award to the applicant institution. The Other Support page for each senior/key personnel is one of the required documents.

Applicants should not submit any Just-in-Time information until a specific request for information is received via email from the system and/or grantor agency. *Click here for more information on JIT and eRA Commons.* See screenshot below.

The eRA Commons Just-in-Time (JIT) link appear on the "Status" screen within 24 hours after the impact score has been released for project within the fundable range.

NIH also issues JIT emails for all applications that receive an overall impact score of 30 or less.



# 2. Research Performance Progress Report (RPPR)

The RPPR is used by grantees to submit progress reports to NIH on their grant awards. The Annual RPPR is used to describe a grant's scientific progress, identify significant changes, report on personnel, and describe plans for the subsequent budget period or year.

The Other Support page for each senior/key personnel <u>may</u> be one of the required documents. Included an OS page for the investigator if there is a <u>change in active support only</u> from the

previous OS submission. For example if there is a new active grant or if one that was previously active is completed, submit an OS page for the investigator.

Submission of other support information is not necessary if:

- There are additional pending grants from what was reported previously but no changes to active support
- There are only changes in the level of effort for active support from what was reported previously.

In Kind Contributions is a new section the NIH requires beginning January 25, 2022. If that is the only addition to the investigator's Other Submit page, do not submit for this change alone.

The Annual RPPR is prepared in eRA Commons.

Click <u>here</u> for more info on Annual RPPRs and eRA Commons.

Figure 7 — eRA Commons RPPR link

Status Result - List of Applications/Grants 

Interes & Tigu:

Important: The Net provides the JT (Just in Time) link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a priority score of between 10 and 60 if no percentile is provided. Please award instructions from the Net on whether to complete thesis offermation.

The following list of applications/grants represents a result of the search by Crants gov Tracking or Tracking if or a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click later of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (State of Applications (Table In Time) and the Proposal Time (Table In Time) and the Time (Table

3. Other Support Changes: Immediate Notification of Undisclosed Other Support A recipient organization may discovers that a PI or other senior/key personnel on an active NIH grant failed to disclose Other Support information. This could occur either after the Just-in-Time or the RPPR application was submitted. The investigator must submit an updated Other Support page to the GCO for forwarding to the Grants Management Specialist named in the Notice of Award as soon as it becomes known.

# C. Roles and Responsivities

Many persons may be involved in assisting with the preparation of the Other Support page. Below are GCO's guidelines for who knows best regarding the provided information.

#### 1. Investigator

The investigator is responsible for information on his/her Other Support page. He/she is the only person qualified to determine scientific overlap and is likely the only person with complete knowledge of in-kind contributions. In addition, each investigator is signing this statement.

#### Certification

"...the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties."

#### 2. Departmental Staff

Departmental staff including the departmental administrator, financial analysts, grants administrators, research coordinators, and others may assist in preparation. Certain roles have access to Human Resources (HR) information to confirm actual effort for each active project/proposal. It is never appropriate for these roles to determine scientific overlap.

# 3. Grants and Contracts Office (GCO)

The GCO reviews information that can be confirmed against InfoEd for accuracy, verifies whether there is commitment overlap, determines conformity with formatting guidelines, and submits documentation to the NIH. GCO's Other Support Specialists work with GCO's Grants Specialists as well as with investigators, their support team, and departmental administration to prepare and review Other Support pages and monitor and maintain records.

# D. Preparation and Submission Steps

The preparation and submission steps are outlined below.

It is recommended that you follow the <u>Other Support Checklist</u> in addition to these instructions. GCO strongly encourages you to use the <u>SharePoint Other Support</u> template to prepare the OS page. As a reminder, all information must be confirmed with the Investigator.

#### Step 1

Determine key personnel on the project.

#### Step 2

Review Investigator's Current Salary Source Allocation from Sinai Cloud HR Transactions for Current Effort.

# Step 3

Check InfoEd - <u>Proposal</u> Status: "Awarded/Approved," which corresponds to the active subsection of Projects/Proposals section and "Pending," and "To Be Funded" which corresponds to the Pending section of the OS page.

# Step 4

Compare Sinai Cloud HR with InfoEd Awarded/Approved Proposals and Resolve Discrepancies, Are there differences between the active projects included in the pre-award (InfoEd) system and the active projects in the post award (Sinai Cloud HR) system for the investigator? If the investigator is only included on <u>1</u> of the 2 systems, please resolve. Please review the "<u>Discrepancies between</u> Projects/Proposals in Sinai Cloud HR and InfoEd" section for further instructions.

#### Step 5

Collect In Kind and all other information from the Investigator.

# Step 6

Add data to the Other Support page. Investigator is responsible for the information on the Other Support Page confirming that the statements are true, complete and accurate.

# Step 7

Submit to the GCO

Please find out from your designated <u>GCO Grants Specialist</u> how he/she prefers to receive the Other Support pages to review. GCO will accept signed and unsigned draft copies. The final version must be certified.

In addition, RPPRs require an InfoEd submission.

# Step 8

Upload OS pages in <u>eRA Commons</u> as part of the JIT or RPPR submission after confirming above. Please notify your designated <u>GCO Grants Specialist</u> when the information is uploaded. This step does not apply to undisclosed Other Support which the GCO sends via email rather than on eRA Commons.

### Step 9

After the GCO reviews, he/she will notify your designated <u>Authorizing Organization Representative</u> to submit to the NIH.

# V. Information Management

Mount Sinai has resources to help you manage and track information for the Other Support page.

# A. SharePoint Template

GCO strongly encourages the use of <u>Mount Sinai's SharePoint Other Support Template</u>. Features include:

- Data entry of standardized information in the projects/proposal, in kind contributions and certification sections,
- Ability to customize and further tailor, such as for the overlap statement
- Use with Mount Sinai only appointments, Multiple Research Appointments (MRAs) or Dual ISMMS – VA Appointments
- Shared access with research team, departmental administration, and the GCO.

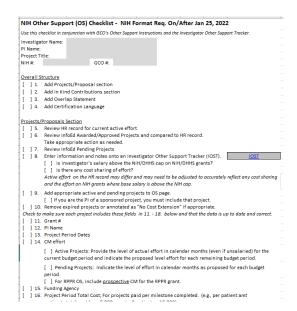


The template includes an excel workbook where the data is stored and a Microsoft Word other support template. Information from these Excel and Microsoft Word are combined to create an Other Support page.

Other Support Template Training is available in PEAK as an on line on demand session.

# **B.** Other Support Checklist

Use the Other Support Checklist to create and submit accurate Other Support pages each time you submit. The "Notes" tab of the Other Support Checklist includes suggested language for overlap and multiple research appointments. Below is a partial sample of the checklist.



# Note # 1 - Dual ISMMS - VA Appts The joint Icahn School of Medicine at Mount Sinai [Enter XX FTE appt (e.g., 1FTE, .5 FTE,etc.)] and James J. Peters VA Medical Center [enter X/8th appt (e.g., 3/8the 5/8ths, etc.]] appointment for [investigator Name] constitutes 100% of his/her total professional responsibilities (TPE). The combined TPE at both institutions does not exceed 12 person months (PM). [Investigator's Name]'s joint appointment is documented under an MOU between Mount Sinai and the VA. There is no dual compensation for the same work, nor is there an actual or apparent conflict of interest regarding such work. The TPE differs from the effort listed on each sponsored proejct budget. Effort on sponsored project budgets reflects each particular institutional time commitment only. Also included below in "( )" are the particular institutional CM time commitments for clarification purposes only. Note # 2 - 100% paid VA employees devoting effort on grants administered through both institutions [Investigator Name] holds appointments at ISMMS and the James J Peters VA Medical Center (JJPVAMC) vand receives salary compensation through the JJPVAMC only. [Investigator Name] may devote effort on grants administered by both institutions. His/her combined ISMMS Note #3 - F/K Awardees Commitment Overlap Statement [Investigator Name] has a K/F award; and therefore effort may appear to be over 100%. The following grants are subsumed by the F/K: List or reference grants Note # 4 - Commitment Overlap Statement JIT - CM greater than 11.4 If the JIT grant is awarded, the [Investigator Name]'s effort will be more than 11.4 CM. Effort on these grants will be reduced: [Name grants] Of those grants, below are the ones that require prior approval before reducing. [Name grants] State whether you will submit or have submitted the prior approval request.

#### VI. Resources

### Guidance

Below are guidance documents, forms, checklists, and training information.

- Informing the NIH When Applying to Multiple Funding Agencies
- Other Support FAQs
- Other Support: Adobe Signature Certification Instructions
- Other Support: List of Most Common Errors
- Other Support: Overlap Guidance (Dean's Office/GCO)
- NIH and Foreign Influences on Research Integrity: A Guide to Navigating Through NIH Policy and Procedure

# **Template, Forms and Checklists**

- Gift vs Sponsored Project Checklist
- Other Support Template in SharePoint
- InfoEd Eform for New and Competitive Sponsored Projects: Entering Major Goals for Other Support
- NIH Other Support Checklist

# Training

- GCO 401: Basics of Preparing an NIH Other Support (OS) Page
- GCO 402: Collecting Information for the NIH Other Support (OS) Page
- GCO 403: Other Support Template Training